



## Applicant Information Form 12b Holding, taking, importing, exporting marine mammals for non-research purposes

The Department recommends that you contact us to discuss the proposed activity prior to completing the application forms:

Permissions Advisor (Support)  
Phone: +64 3 371 3700  
Email: [permissionschristchurch@doc.govt.nz](mailto:permissionschristchurch@doc.govt.nz)

This form must be completed when applying for permits to hold, take, import, export marine mammals for non-research purposes ONLY. If you wish to hold, take, import, export marine mammals for research purposes please fill in [Form 12a](#), available on the DOC website.

Please provide all information requested in as much detail as possible. Applicants will be advised if further information is required before this application can be processed by the Department.

Please note that the application process involves notification in the *Gazette* for 28 days (see s.5.5 of the Act), allow sufficient time when submitting the application for this to be undertaken.

Once you have filled in your application form, please complete this checklist to ensure that all components of your application are complete. This will help prevent any possible delays in the processing of your application.

- Legal status (company/trust/inc society) registration number (if not an individual)
- Written consultations (if applicable)
- Have you read and accept the section regarding the liability of the applicant for payment of fees
- Have you signed your application?

All efforts in putting together a detailed application are greatly appreciated and will allow the Department to effectively and efficiently process your application.

## A. Applicant Details

**Applicant Name**  
(full name of registered company  
or individual, student or university)

Milford House Ltd

[Remainder of Part A 'Applicant details' removed for notification]

## B. Details of Proposed Activity

Take       Hold       Import       Export

**NB** please tick all applicable activities

## C. Purpose of Proposed Activity

- Description of activity

Sale of original artwork on behalf of NZ artist Chris Charteris to Australian individual

- Species of marine mammal item/part (if known):

Physeter macrocephalus (Sperm Whale)

- Type of marine mammal item/part (eg tooth):

Part of lower jaw bone

- Number of marine mammal items/parts:

One (partial)

- How was the marine mammal item/part obtained:

Harvested from deceased animal washed up on beach in Scotland UK, 1977.

Specimen acquired by Major H Woods through British Museum, Nov 1977.

Specimen exported to New Zealand by Major H Woods in 1994.

Specimen acquired from estate of Major H Woods by Chris Charteris in 1998.

Part of specimen reworked into carved sculpture by Chris Charteris and exhibited at Milford Galleries Dunedin in June-July 2018.

Sculpture purchased by Ross Grant 28/06/18.

See supporting documents.

- Proposed dates for import/export if applicable:

To be exported in issue of MMPA permit, TIES permit and Pre-Convention certificate, 2018.

## D. Other

Is there any further information you wish to supply in support of your application?

- Photographs
- Milford Galleries Dunedin Provenance declaration
- Milford Galleries Dunedin export invoice
- British Museum (Natural History) letter dated 25 Nov 1977, stamped by UK CITES 28 Nov 1994
- Chris Charteris declaration

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## E. Consultation Undertaken

Some applications require consultation with whānau/hapū/iwi (local Māori), and other interested parties. Please contact the nearest Department of Conservation office to discuss what is required. Written expert views, advice or opinions concerning your proposal may also be attached to support the application. Attach any proof of consultation to the application.

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[Section F 'Fees' removed for notification]

[Declaration specifics redacted]

### Declaration

I certify that the information provided on this application form and all attached additional forms and information is to the best of my knowledge true and correct.

**Note: The Director-General may vary any permit granted if the information given in this application contains inaccuracies.**

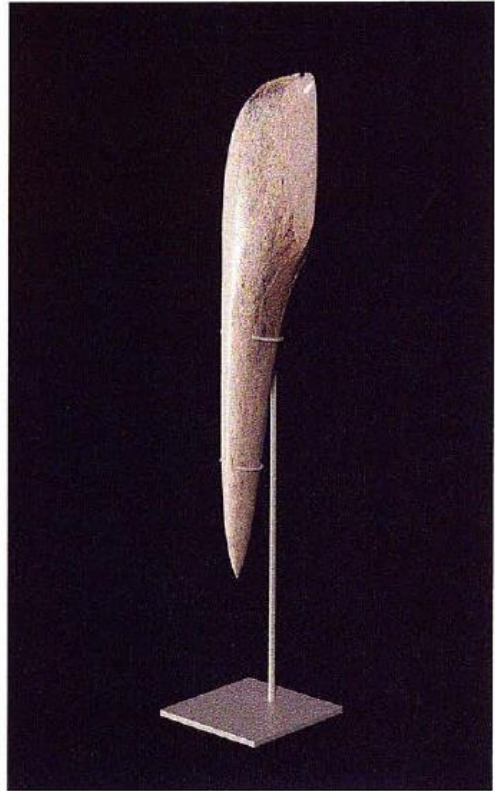
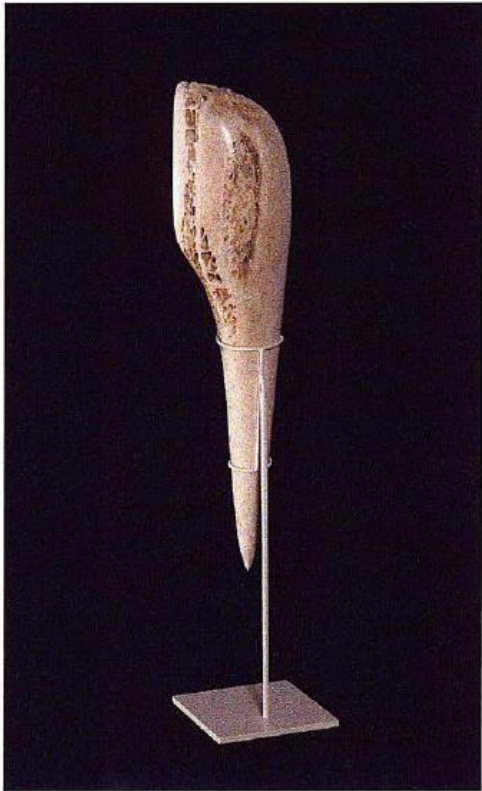
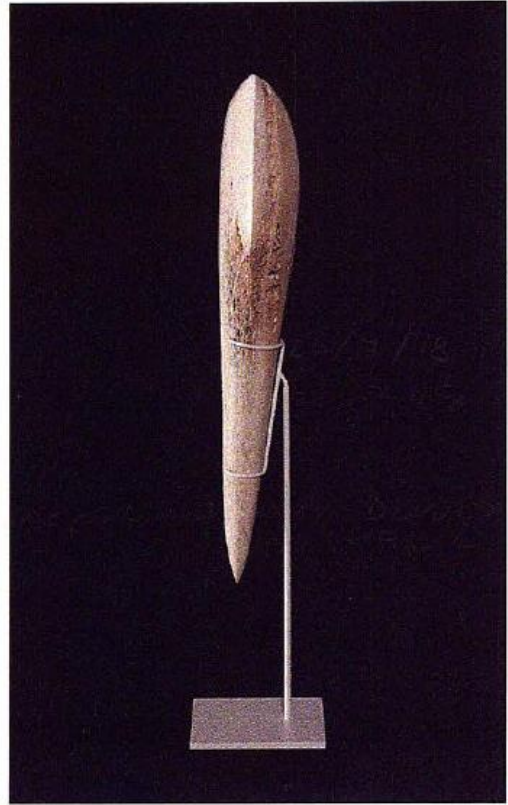
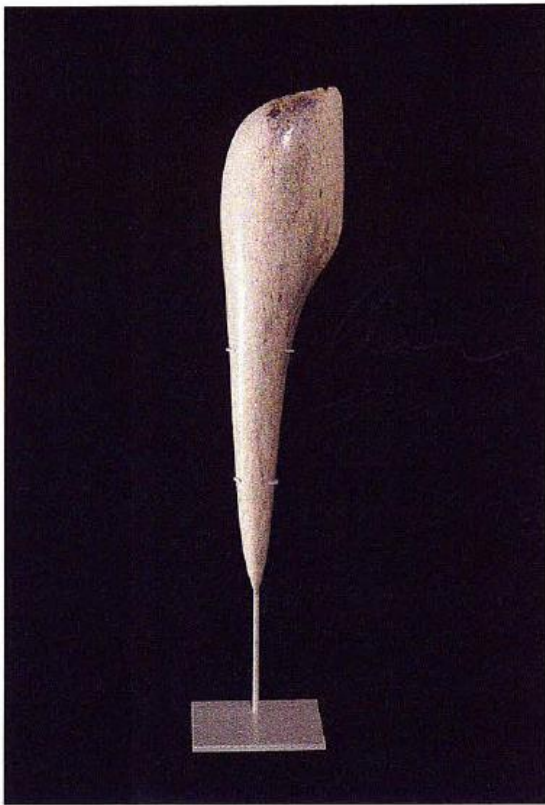
Signature (Applicant)		Date	20/7/18
Signature (Witness)		Date	20.7.18
Witness Name			
Witness Address			

This application is made pursuant to the Marine Mammals Protection Act 1978.

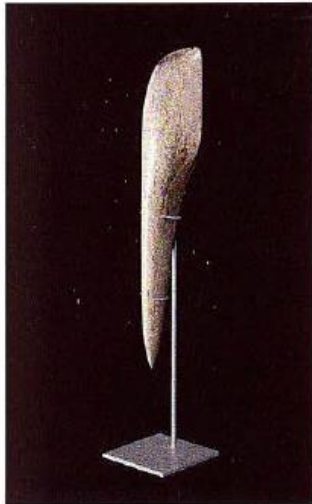
Applicants should familiarise themselves with the relevant sections of the Marine Mammals Protection Act 1978.

NOTE: Further information may be sought from you for this assessment if this application is not completed fully as required. The purpose of collecting this information is to enable the Department to process your application. The Department will not use this information for any reason not related to that purpose.

Applicants should be aware that provisions of the Official Information Act might require that some or all information in this application be publicly released.



## PROVENANCE DECLARATION



**CHRIS CHARTERIS  
Patu (2018)**

Scotland sperm whale bone  
sculpture: 372 x 91 x 60 mm  
signed & dated "CC 2018" inscribed verso  
retail value \$4,500

**PROVENANCE:**

Received by Milford Galleries Dunedin from the studio of Chris Charteris in June, 2018.  
Purchased by Ross and Jo Grant from Milford Galleries Dunedin in June, 2018.

**EXHIBITION HISTORY:**

Milford Galleries Dunedin, "Truth, Simplicity and Love," 16 June - 11 July 2018.

Milford Galleries Dunedin identify the work to be that of the artist and declare these details to be correct as known at this time.

SIGNED:

  
Glenn Frei

DATE:

20/7/18

With Compliments

DEPARTMENT OF THE ENVIRONMENT

College House  
Horseshoe Lane  
Bristol BS2 90A

*M.S. Woods  
please see enclosed, as discussed  
11.6.95 C. Gifford  
Direct Line 0117 931224  
Switchboard 0117 931224  
GTEN 2874  
1374*



British Museum (Natural History)  
Cromwell Road London SW7 5BD

Telephone 01-569 6323 ext

Department of Zoology

Major H. Woods,  
The Chalet,  
Buffins Lane,  
North Warnborough,  
Basingstoke,  
Hants.

Your reference SW.1977/35

Our reference MCS/50

Date 25th November, 1994

Dear Major Woods,

Further to our telephone conversation of yesterday I would now like to confirm that you are welcome to go ahead and make arrangements to acquire parts of the lower jaw of the sperm whale which was washed up dead on the Island of Southsea on the 20th November.

I understand that this animal is to be disposed of and I am glad to learn that you can make use of at least part of it.

Yours sincerely,

*M.C. Shelrick*

M.C. SHELDRICK  
Curator  
Marine Mammals

U.K. CITES  
MANAGEMENT AUTHORITY  
28 NOV 1994  
WILDLIFE TRADE  
LICENSING BRANCH

*Copied to  
G.N.C.  
16.12.94*

*from the whale  
Hants*

[Attachment: Personal details redacted]

Chris Charteris



To whom this may concern,

In regard to the whalebone which I used to make this Patu I purchased this whalebone in 1998 from the family of Major Woods. At the time I was supplying the Auckland Museum shop with carvings. The family of Major Woods contacted the Auckland Museum to see if they knew anyone who would like to buy the whalebone as unfortunately Major Woods had passed away before returning to New Zealand to live. He had sent the bone from England where he lived and worked as a carver supplying the British Museum shop. If you wish to contact me further regarding this. My contact number is 078660002

Your sincerely

A handwritten signature in cursive script that reads "Chris Charteris".